S&H Form: FORM PTO-1390 (2/01)

U.S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 1391.1033 DESIGNATED/ELECTED OFFICE (DO/EO/US) 09/889089 **CONCERNING A FILING UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. November 30, 2000 November 30, 1999 PCT/JP00/08452 TITLE OF INVENTION CHARACTER-RECOGNITION PRE-PROCESSING APPARATUS AND METHOD, AND PROGRAM RECORDING APPLICANT(S) FOR DO/EO/US Hiroshi Kakutani, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. [X] This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)). 3. [] The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 4. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [] is transmitted herewith (required only if not transmitted by the International Bureau). b. [X] has been transmitted by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US). 5. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US) 7. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. [] An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). 9. [] A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 10-15 below concern document(s) or information included: 10. [X] An Information Disclosure Statement Under 37 CFR 1.97 and 1.98. 11.[] An assignment document for recording. Please mail the recorded assignment document to: a. [] the person whose signature, name & address appears at the bottom of this document. b. [] the following: 12. [X] A preliminary amendment. 13. [] A substitute specification 14.[] A change of power of attorney and/or address letter. 15.[] Other items or information:

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| AIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|------|--|-----------------------------|---------------------|------------|------------------|
| | TOTAL CLAIMS | 11-20= | 0 | x \$ 18.00 | 0.00 |
| | INDEPENDENT CLAIMS | 3-3= | 0 | x \$ 80.00 | 0.00 |
| | MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$270.00 | | | | 270.00 |
| | BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4): [] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,000 [X] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860 [] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO\$710 [] International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4)\$690 [] International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) | | | | 860.00 |
| | Surcharge of \$130 for furnishing the National fee or oath or declaration later than [X] 20 [] 30 mos. from the earliest claumed priority date (37 CFR 1.482(e)). | | | | 130.0 |
| | Reduction by 1/2 for filing by | small entity if applicable. | TOTAL OF ABOVE | | 1,260.0 |
| | 1.9, 1.27, 1.28.) | | SUBTOT | | 1,260.0 |
| | Processing fee of \$130 for furnishing the English Translation later than [] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)). | | | | -,-30,0 |
| | | | TOTAL NATIONAL | . FEE | 1,260.0 |
| | Fee for recording the enclosed assignment (37 CFR 1.21(h)). | | | | + |
| | | | TOTAL FEES ENCI | LOSED | 1,260.0 |

a. [X] A check in the amount of \$1,260.00 to cover the above fees is enclosed.

21171

PATENT TRADEMARK OFFICE

NAME. David M. Pitcher REGISTRATION NO. 25,908

b. [] Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.